

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	7276274
<b>Application Number:</b>	09930786
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3301
<b>Title of Invention:</b>	AUTOMATED FINANCIAL PORTFOLIO COACHING AND RISK MANAGEMENT SYSTEM
<b>First Named Inventor/Applicant Name:</b>	Ronald E. Sloan
<b>Customer Number:</b>	81331
<b>Filer:</b>	Maura Kathleen Moran./Christine Shayda
<b>Filer Authorized By:</b>	Maura Kathleen Moran.
<b>Attorney Docket Number:</b>	10761.0304-01
<b>Receipt Date:</b>	24-MAR-2010
<b>Filing Date:</b>	15-AUG-2001
<b>Time Stamp:</b>	15:38:34
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	1696
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Request for Continued Examination (RCE)	RCE_Form.pdf	69174	no	1
			509115e8f087b6f7a63f41938984879bdd9a9cf9		
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
2	Transmittal Letter	IDS_Transmittal.pdf	68743	no	2
			6523d9ea1000f86b7ce67907d630248f9bc42a2b		
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed (SB/08)	SB_08.pdf	65934	no	1
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Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
4	NPL Documents	Das_1998.pdf	5562711	no	21
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Warnings:					
Information:					
5	NPL Documents	0188-00_09431390_OA_10-24-01.pdf	1546532	no	11
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Warnings:					
Information:					
6	NPL Documents	0362-00_09-520943_OA_03-03-10.pdf	1084835	no	29
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7	NPL Documents	0185-01_09-976443_NOA_02-17-10.pdf	420276	no	9
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Warnings:					
Information:					
8	Fee Worksheet (PTO-875)	fee-info.pdf	30474	no	2
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Warnings:					
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Total Files Size (in bytes):			8848679		

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**